

2010

*College of Public Health Sciences Library
Chulalongkorn University*

**THESIS / DISSERTATION:
REFERENCE GUIDELINES**

REFERENCE GUIDELINES

The Author-Date, Footnote, or Numerical citation styles have been using for writing references in Chulalongkorn University's thesis. **Please choose ONLY one citation style.** The references are acknowledged in two locations in your thesis: within the text (in-text citation), and the reference list.

IN-TEXT CITATION

No.	Reference Citations In-Text	Example
The author-date system		
1	<p>Work by a single author</p> <p>Give the last name, followed by the year of publication.</p>	<p>(Thaneepanichskul, 2003)</p> <p>Thaneepanichskul (2003) said that...</p> <p>(Simon, 2000)</p> <p>Simon (2000) found that...</p>
2	<p>Work by multiple authors</p> <p><u>2 authors:</u></p> <p>Cite both names by using "and" after the 1st author, followed by the year of publication.</p> <p><u>3 authors:</u></p> <p>Cite all authors at the 1st time. In subsequent citations, use only the 1st author, followed by "et al." or "and others", and the year of publication.</p> <p><u>More than 3 authors:</u></p> <p>Cite the 1st author, and followed by "et al." or "and others", and the year of publication.</p> <p><u>Exception</u></p> <p>If a reference list includes another work of the same date that would also be abbreviated as 'Zipursky et al.' but whose co-authors are different persons or listed in a different order, the text citations must distinguish between them. In such cases, the first two (or the first three) authors should be cited, followed by 'et al.' or 'and others'.</p>	<p>(Deming and Dynarski, 2008)</p> <p><u>1st citation:</u></p> <p>(Kahneman, Knetsch, and Thaler, 1991)</p> <p><u>Subsequent citations:</u></p> <p>(Kahneman et al., 1991)</p> <p>(Kahneman and others, 1991)</p> <p>(Williams et al., 1999)</p> <p>(Williams and others, 1999)</p> <p>(Zipursky, Jones et al., 1997)</p> <p>(Zipursky, Jones and others, 1997)</p> <p>(Zipursky, Smith et al., 1997)</p> <p>(Zipursky, Smith and others, 1997)</p>

3	Work by corporate author Give the full name of corporate author at the 1 st time. In subsequent citations, it can be abbreviated.	<i>1st citation:</i> (National Institute of Mental Health [NIMH], 2007) <i>Subsequent citations:</i> (NIMH, 2007)
4	Work by the same author(s) <u>Different</u> publication year	(Whittaker, 1967, 1975)
	<u>Same year</u> : use lower-case letters (a, b, c) with the year in the reference.	(World Health Organization [WHO], 2006a, 2006b)
5	Work with multiple references Two or more references in a single parenthetical citation are separated by semicolons (;) and arranged in alphabetical order.	(Armstrong and Malacinski, 1989; Beigl, 1989; Pickett and White, 1985)
6	Work without author Replace the author's name with the source's title.	(Merriam-Webster's collegiate dictionary, 1993)
	Replace the author's name with the editor(s).	(Detels et al., eds., 2009)
7	Citing indirect sources Give the original source, followed by "cited in", and the secondary source.	(Lim, 1972 cited in Strauss, 2004)
8	Citing an interview Give the name of interviewee, followed by "Interview" and date of the interview.	(Chan, Interview, 9 November 2006)
9	Citing electronic sources Give the author's last name and year of publication, followed by "online".	(Gunderman, 2006 : online)
The numerical arrangement system		
11	In-text citations of your thesis are identified by numbers in brackets [] or round brackets ().	- Smith (1) has argued that... - An estimated 20-30% of patients with lung cancer will develop complications associated with airway obstruction (atelectasis, pneumonia, etc.) ... (2)
The footnote system		
12	Footnotes are notes relating to the text and placed at the foot of a page. Place the reference number at the end of a sentence in superscript format. - <i>The reference numbers will restart from 1 in a</i>	In traditional British East Africa, between the time of puberty and marriage, a young Akamba girl must maintain an avoidance relationship with her own father. ¹

	<p><i>new chapter</i></p> <p>- <i>Thai author or Foreign author: use the first name, followed by the last name</i></p>	<hr/> <p>¹ Sigmund Freud, Totem and taboo (New York: Random, 1918), p. 17.</p>
13	<p>Book</p> <p>Author(s), Title, edition. (City: Publisher, Year), Page(s).</p>	<hr/> <p>¹ Paul Preston, The coming of the Spanish Civil War: Reform, reaction and revolution in the second republic, 2nd ed. (London: Routledge, 1994), p. 2.</p>
14	<p>Journal Article</p> <p>Author(s), "Article's title," Journal's name Volume, Issue (Month/Year): Page(s).</p>	<hr/> <p>¹ Steven Volk, "Mineowners, moneylenders, and the State in Mid-Nineteenth Century Chile: Transitions and conflicts," Hispanic American Historical Review 73 (February 1993): 67-98.</p>
15	<p>Chapter in book</p> <p>Author(s). "Chapter's title," in Book's title, Editor(s)'s name, Editor(s) (if any). (City: Publisher, Year), page(s).</p>	<hr/> <p>¹ Ellen Stroud, "Troubled waters in Ecotopia: Environmental racism in Portland, Oregon," in American Environmental History, Louis S. Warren, ed. (Malden, MA: Blackwell, 2003), pp. 300-301.</p>
16	<p>Newspaper Article</p> <p>Author(s). "Article's title," Newspaper's name (Date/Month/Year): page(s).</p>	<hr/> <p>¹ John Simpson, "Victor's Justice Helps Revive the Beaten Monster", Daily Telegraph (20 January 2002): 15.</p>
17	<p>Encyclopedia</p> <p>Author(s). "Article's title," Encyclopedia's name Volume (Month/Year): page(s).</p>	<hr/> <p>¹ Eileen M. Trauth, "Information resource management," Encyclopedia of Library and Information Science 43 (1988): 93-112.</p>
18	<p>Thesis or Dissertation</p> <p>Author(s). "Thesis's title," (Thesis's level, Faculty/Institute, University, Year), page(s).</p>	<hr/> <p>¹ Ratana Somrongthong, "Adolescent health needs, accessibility of services, depression and quality of the life by assisting in the development of community partnerships," (Doctoral dissertation, College of Public Health, Chulalongkorn University, 2004), p.10.</p>

		<p>² Nilar Han, "Antiretroviral drug taking among Myanmar migrants in central region of Thailand," (Master's thesis, College of Public Health Sciences, Chulalongkorn University, 2008), p.30.</p>
19	<p>Online system</p> <p>Author(s), Title [Online], Publication Year. Available from://www.xxxxx [Accessed date].</p>	<hr/> <p>¹ World Health Organization [WHO], Pandemic (H1N1) 2009 [Online], 2009. Available from: http://www.who.int/csr/don/2010_05_07/en/index.html [15 May 2010].</p> <p>² Learning theory [Online], n.d. Available from: http://www.infed.org/biblio/b-learn.htm [15 May 2010].</p>
20	<p>Interview</p> <p>Interview with Interviewee's name, position (if any), Interview date.</p>	<hr/> <p>¹ Interview with Surasak Thaneepanichskul, Dean, the College of Public Health Science, Chulalongkorn University, 15 May 2010.</p>

REFERENCE LIST

In the final list of references, there are 2 common referencing formats;

Format 1: The year of publication appears at the end of citation.

Format 2: The year of publication appears after the author's name (with or without parentheses).

The following example is the Author-Date System format. Therefore, in reference list, alphabetical order must be arranged (A-Z).

For the Numerical Arrangement System format, identified by numbers in brackets [] or round brackets (). The reference list is arranged by the citation order in the text of the thesis, not by alphabetical order.

BASIC RULES

- All lines after the first line of each entry in your reference list should be indented one-half inch from the left margin. This is called hanging indentation.

Both of Thai and Foreign author: Author's name is inverted (last name first); give the last name, followed by first initial.

Note: - If the work has three to five authors, list all the authors of a particular work.

- If the work has six or more than six authors, use "et al." or "and others" after the 1st author's name.

- When referring to any work that is NOT a journal, such as a book, article, or Web page, capitalize only the first letter of the first word of a title and subtitle, the first word after a colon (:) and proper names that are part of a title.
- Capitalize all major words in journal titles.
- **Bold** or underline or *italicize* titles of works such as books and journals. Please choose ONLY one format.

BOOKS

References to the book must include: author(s) or editor(s), year of publication, title, place of publication, and the name of the publisher.

Format 1:

Author, A. A. **Book's title.** Volume or number of volumes (if any). Edition (if any). Name and order of series (if any). City: Publisher, Year of publication.

Format 2:

Author, A. A. (Year of publication). **Book's title.** Volume or number of volumes (if any). Edition (if any). Name and order of series (if any). City: Publisher.

Example

Format 1:

Streiner, D. L., and Norman, G. R. **Health measurement scales: A practical guide to their development and use.** 4th ed. New York: Oxford University Press, 2008.

Kawachi, I., and Wamala, S., eds. **Globalization and health.** New York: Oxford University Press, 2007.

Merriam-Webster's collegiate dictionary. 10th ed. Springfield, Mass.: Merriam-Webster, 1995.

Format 2:

Streiner, D. L., and Norman, G. R. (2008). **Health measurement scales: A practical guide to their development and use.** 4th ed. New York: Oxford University Press.

Kawachi, I., and Wamala, S., eds. (2007). **Globalization and health.** New York: Oxford University Press.

Merriam-Webster's collegiate dictionary. 10th ed. (1995). Springfield, Mass.: Merriam-Webster, 1995.

- Note:
- ☞ Single Author: List the last name first, followed by first initial.
 - ☞ Two Authors: List by their last names and initials, join the names with the word “, and”.
 - ☞ Three to Five Authors: List by last names and initials; commas (,) separate author names, while the last author name is preceded again by the word “, and”.
 - ☞ Six or More Than Six Authors: List the first authors, followed by “, et al.” or “, and others” after the first author's name.
 - ☞ If the author is an organization, associations, corporations, government agencies, etc., list the long name of organization and include the abbreviation in brackets (if any).
 - ☞ If the work does not have an author, use the work's title instead of an author's name.

TECHNICAL REPORTS AND RESEARCH REPORTS

Format 1:

Author, A. A. **Book's title.** (Series or documents' detail). City: Publisher, Year of publication.

Format 2:

Author, A. A. (Year of publication). **Book's title.** (Series or documents' detail). City: Publisher.

Example

Format 1:

World Health Organization [WHO]. **Forty-third report of the WHO Expert Committee on specifications for pharmaceutical preparation.** (WHO technical report series no. 953). Geneva: WHO, 2009.

Format 2:

World Health Organization [WHO]. (2009). **Forty-third report of the WHO Expert Committee on specifications for pharmaceutical preparation.** (WHO technical report series no. 953). Geneva: WHO.

ARTICLE OR CHAPTER IN AN EDITED BOOK

Format 1:

Author, A. A., Author, B. B., and Author, C. C. Chapter's title. In A. Editor, and B. Editor (eds.), **Title of book**, (page(s) of chapter). City: Publisher.

Format 2:

Author, A. A., Author, B. B., and Author, C. C. (Year of publication). Chapter's title. In A. editor, and B. editor (eds.), **Title of book**, page(s). City: Publisher, Year of Publication.

Example

Format 1:

Collins, S., and Britten, N. Conversation analysis. In C Popo, and N. Mays (eds.), **Qualitative research in health care**, pp.43-52. Malden, Mass.: Blackwell Pub./BMJ Books, 2006.

Format 2:

Collins, S., and Britten, N. (2006). Conversation analysis. In C Popo, and N. Mays (eds.), **Qualitative research in health care**, pp.43-52. Malden, Mass.: Blackwell Pub./BMJ Books.

- Note:
- Editor (s): Use the abbreviations "ed." for single editor and "eds." for multiple editors.
 - Page(s): Use the abbreviations "p." for single page (*e.g.*: p.9) and "pp." for a page range (*e.g.*: pp.35-43).

✦ *Journal Article*

Format 1:

Author, A. A., Author, B. B., and Author, C. C. Title's article. **Journal's name** Volume number
(Month Year): page(s).

Format 2:

Author, A. A., Author, B. B., and Author, C. C. (Year). Title's article. **Journal's name** Volume
number: page(s).

Example

Format 1:

Gardner, A., Geierstanger, S., Brindis, C., and McConnel, C. Clinic consortia media advocacy capacity:
partnering with the media and increasing policymaker awareness. **J Health Commun** 15 (April
2010): 293-306.

Format 2:

Gardner, A., Geierstanger, S., Brindis, C., and McConnel, C. (2010). Clinic consortia media advocacy
capacity: partnering with the media and increasing policymaker awareness. **J Health Commun**
15: 293-306.

✦ *Newspaper Article*

Format 1:

Author, A. A. (if any). Article's title. **Newspaper's name** (Date of Publication) : Page(s).

Format 2:

Author, A. A. (if any). (Date of Publication). Article's title. **Newspaper's name**: Page(s).

Example

Format 1:

Fontemaggi, F., and Hadoulis, J. Tourism industry keeps fingers crossed. **Bangkok Post** (7 May 2010):
B4.

Format 2:

Fontemaggi, F., and Hadoulis, J. (7 May 2010). Tourism industry keeps fingers crossed. **Bangkok Post**:
B4.

THESIS AND DISSERTATION

Format 1:

Author, A. A. **Thesis's title**. Thesis's level, Faculty, University, Year of Publication.

Format 2:

Author, A. A. (Year of Publication). **Thesis's title**. Thesis's level, Faculty, University.

Example

Format 1:

Liddy, J. C. **Time to virological suppression and subsequent failure in ARV-Naive subjects receiving HAART : results from the HIV-NAT 006 cohort**. Master's thesis, College of Public Health Sciences, Chulalongkorn University, 2007.

Suttajit, S. **Patterns of antibiotic use for upper respiratory infections (URIs) among community members, physicians, drugs seller and the involvement of civil society in uri guidement development**. Doctoral dissertation, College of Public Health, Chulalongkorn University, 2004.

Format 2:

Liddy, J. C. (2007). **Time to virological suppression and subsequent failure in ARV-Naive subjects receiving HAART : results from the HIV-NAT 006 cohort**. Master's thesis, College of Public Health Sciences, Chulalongkorn University.

Suttajit, S. (2004). **Patterns of antibiotic use for upper respiratory infections (URIs) among community members, physicians, drugs seller and the involvement of civil society in uri guidement development**. Doctoral dissertation, College of Public Health, Chulalongkorn University.

CONFERENCE PROCEEDINGS

Format 1:

Author, A. A. **Article's title**. In A. Editor, and B. Editor (eds.) or compiler (if any), **Conference Proceeding's title**, page(s). City: Publisher, Year of publication.

Format 2:

Author, A. A. (Year of publication). **Article's title**. In A. Editor, and B. Editor (eds.) or compiler (if any), **Conference Proceeding's title**, page(s). City: Publisher.

Example

Format 1:

Somrongthong, R., Laosee, O., and Wimuktayon, S. Knowledge of and preventive behavior towards influenza 2009 (H1N1) among residents of Bangkok, Thailand. **Proceedings of 41st APACPH Conference: Celebration of the 25th Anniversary of APACPH**, p.100. Taipei, Taiwan, December 3-6, 2009. Taipei: APACPH, 2009.

Format 2:

Somrongthong, R., Laosee, O., and Wimuktayon, S. (2009). Knowledge of and preventive behavior towards influenza 2009 (H1N1) among residents of Bangkok, Thailand. **Proceedings of 41st APACPH Conference: Celebration of the 25th Anniversary of APACPH**, p.100. Taipei, Taiwan, December 3-6, 2009. Taipei: APACPH.

UNPUBLISHED DOCUMENTS

Format 1:

Author, A. A. **Document's title.** City: Responsible agency, Year of publication.
(Unpublished Manuscript)

Format 2:

Author, A. A. (Year of publication). **Document's title.** City: Responsible agency.
(Unpublished Manuscript)

Example

Format 1:

World Health Organization [WHO]. **A system view of environmental health.** Geneva: WHO, 2001.
(Unpublished manuscript)

Format 2:

World Health Organization [WHO]. (2001). **A system view of environmental health.** Geneva: WHO.
(Unpublished manuscript)

INTERVIEW

Format 1:

Interviewer's last name, A. A. Position (if any). **Interview,** Date Month Year of interview.

Format 2:

Interviewer's last name, A. A. (Date of interview). Position (if any). **Interview.**

Example

Format 1:

Chan, M. Director-General, World Health Organization. **Interview**, 9 November 2006

Format 2:

Chan, M. (2006, November 9). Director-General, World Health Organization. **Interview.**

ELECTRONIC SOURCES

Format 1:

Author, A. A., and Author, B. B. **Title** [Online]. Year of publication. Available from:
<http://www.someaddress.com> [Accessed Date].

Format 2:

Author, A. A., and Author, B. B. (Year of publication). **Title** [Online]. Available from:
<http://www.someaddress.com> [Accessed Date].

Example

Format 1:

Gunderman, R. B. **Achieving Excellence in Medical Education** [Online]. 2006. Available from:
<http://www.springerlink.com/content/w66228/?p=41c34d78c6d6478e9605078abb80b35d&pi=12>
[11 May 2010].

Parbhoo, A. V. Getting on in your senior house officer post. In **What they didn't teach you at medical school** [Online]. London: Springer, 2007. Available from:
<http://www.springerlink.com/content/l45415w372693523/fulltext.pdf> [2010, May 11].

Format 2:

Gunderman, R. B. (2006). **Achieving Excellence in Medical Education** [Online]. Available from:
<http://www.springerlink.com/content/w66228/?p=41c34d78c6d6478e9605078abb80b35d&pi=12>
[11 May 2010].

Parbhoo, A. V. (2007). Getting on in your senior house officer post. In **What they didn't teach you at medical school** [Online]. London: Springer. Available from:
<http://www.springerlink.com/content/l45415w372693523/fulltext.pdf> [11 May 2010].

NOTE

1. Abbreviations;

- Use “ed.” for edition
- Use “ed.” or “eds.” for editor(s)
- Use “n.d.” for no date
- Use “n.p.” for no place
- Use “p.” or “pp” for page(s)

2. Punctuation Marks;

- After a full stop (.) put 2 space
- After a comma (,) put 1 space
- After a semicolon (;) put 1 space
- After a colon (:) put 1 space